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U.S. PRO

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(only for new nonprovisionals under 37 CFR 1.53(b))

Attorney Docket No. B10-17203US Total Pages 28

First Named Inventor or Application Identifier

Hong Wan et al

Express Mail Label No. EK934964051US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
- Specification  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Formal Drawing(s) (35 USC 113) [Total Sheets 3]
- Oath or Declaration [Total Pages 3]
  - a.  Unexecuted (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 Below]
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
- Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from  
which a copy of the oath of declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.

**Assistant Commissioner for Patents**  
**ADDRESS TO:**  
 Box Patent Application  
 Washington, DC 20231

6.  Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies.

JC868 10/073542 02/11/02

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & document(s))
9.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of Attorney
10.  English Translation Document (
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14.  Small Entity  Statement filed in prior application,  
Statement(s)  Status still proper and desired
15.  Certified Copy of Priority Document(s)  
(if foreign priority claimed)
16.  Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No. \_\_\_\_\_
**18. CORRESPONDENCE ADDRESS**
 Customer Number or Bar Code Label 000128  Correspondence Address Below  
 (Insert Customer No. or Attach bar code label here)

NAME	<i>Dennis C. Bremer</i>			
	Dennis C. Bremer, Registration No. 40,528			
ADDRESS	Honeywell International, Inc.			
CITY	101 Columbia Road, P.O. Box 2245			
MORRISTOWN	STATE	New Jersey	ZIP CODE	07962-2245
	TELEPHONE	612/951-6145		FAX
				612/951-6192

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL

## For FY 2001

Patent fees are subject to annual revision.  
 Small Entity payments must be supported by a small entity statement,  
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
 See 37 C.F.R. §§1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT \$1,124.00

## COMPLETE IF KNOWN

Application No.	TBD
Filing Date	February 11, 2002
First Named Inventor	Hong Wan et al
Group Art Unit	TBD
Examiner Name	TBD
Attorney Docket No.	B10-17203US

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-1125

Deposit Account Name

Charge any Additional Fee Required  
Under 37 CFR §§1.16 & 1.17

2.  Payment Enclosed:  
 Check  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
<b>SUBTOTAL (1)</b>			<b>\$740</b>

## 2. EXTRA CLAIM FEES

Total Claims	-20 =	Extra Claims	Fee from below	Fee Paid
32	-20 =	12	x \$18	= \$216.00
Independent Claims	- 3 =	2	x \$84	= 168.00
Multiple Dependent Claims				

Large Entity	Small Entity	Fee Description
Fee Code	Fee \$	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim
109	84	209 42 Reissue independent claims over original patent
110	18	210 9 Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>\$384.00</b>

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$
105	130	205	65 Surcharge - Late Filing Fee
127	50	227	25 Surcharge - Late Provisional Filing Fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner Action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner Action
115	110	215	55 Extension for reply within first month
116	390	216	195 Extension for reply within second month
117	890	217	445 Extension for reply within third month
118	1,390	218	695 Extension for reply within fourth month
128	1,890	228	945 Extension for reply within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for Oral Hearing
138	1,510	138	1,510 Petition to institute a public use hearing
140	110	240	55 Petition to revive - unavoidable
141	1,240	241	620 Petition to revive - unintentional
142	1,240	242	620 Utility issue fee (or reissue)
143	440	243	220 Design issue fee
144	600	244	300 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Petitions related to Provisional applications
126	240	126	240 Submission of Information Disclosure Statement
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	710	246	355 Filing a submission after final rejection (37 CFR 1.129(a))
149	710	249	355 For each additional invention to be examined (37 CFR 1.129(b))
Other fee (specify)			
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$0

## SUBMITTED BY

Typed or Printed Name	Dennis C. Bremer	Registration Number	40,528
Signature	<i>Dennis C. Bremer</i>	Date	Feb. 11, 2002

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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